Small-angle x-ray scattering in amorphous silicon: A computational study

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We present a computational study of small-angle x-ray scattering (SAXS) in amorphous silicon (a-Si) with particular emphasis on the morphology and microstructure of voids. The relationship between the scattering intensity in SAXS and the three-dimensional structure of nanoscale inhomogeneities or voids is addressed by generating large high-quality a-Si networks with 0.1%–0.3% volume concentration of voids, as observed in experiments using SAXS and positron annihilation spectroscopy. A systematic study of the variation of the scattering intensity in the small-angle scattering region with the size, shape, number density, and the spatial distribution of the voids in the networks is presented. Our results suggest that the scattering intensity in the small-angle region is particularly sensitive to the size and the total volume fraction of the voids, but the effect of the geometry or shape of the voids is less pronounced in the intensity profiles. A comparison of the average size of the voids obtained from the simulated values of the intensity, using the Guinier approximation and Kratky plots, with that of the same from the spatial distribution of the atoms in the vicinity of void surfaces is presented.

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I. INTRODUCTION

Small-angle x-ray scattering (SAXS) is a powerful method for studying structural inhomogeneities on the extended length scale in solids and condensed-phase systems in solution [1–3]. While x-ray crystallography and nuclear magnetic resonance (NMR) spectroscopy can provide high-resolution structural information, small-angle scattering of x rays and neutrons is particularly useful in probing low-resolution structural characteristics of partially ordered and disordered objects on the nanometer length scale, which is often complemented with results from x-ray diffraction and NMR measurements [4]. Since its first inception by Guinier [1] in the late 1930’s, SAXS has been employed extensively in probing structural properties of a variety of crystalline and noncrystalline solids, including nanocomposites, alloys, glasses, ceramics, and polymers [1,2,5]. In recent years, the advancement of SAXS instrumentation and the availability of high-brilliance x-ray sources have led to the development and emergence of SAXS as a principal tool in structural biology [6,7] for studying an array of biological objects ranging from large macromolecules [8], biopolymers [9], RNA folding [10,11], multidomain proteins with flexible linkers [12], and intrinsically disordered proteins [13]. In spite of the tremendous success and the widespread applications of SAXS in obtaining structural information on the size, shape, and compactness of the scattering objects (e.g., macromolecules in solution or voids in amorphous environments), a direct determination of the three-dimensional structure of the scatterers solely based on the information content of a given SAXS data set is impossible unless additional independent information is available to complement the SAXS data. Since the distribution of the scatterers produces a rotational averaging of the intensity in reciprocal space, the absence of directional (or phase) information between the scatterers makes it extremely difficult to unambiguously reconstruct the three-dimensional shape of a monodisperse scattering object from one-dimensional intensity profiles. While the problem is more acute for polydisperse objects in biomolecular systems, the analysis of SAXS data in structural biology is often accompanied by complementary structural information from high-resolution x-ray crystallography and NMR data, providing additional information on the structure of the constituents or subunits of the scattering objects in order to develop a three-dimensional model [14]. Complications also arise in interpreting and translating experimental SAXS data from the reciprocal-space domain to the real-space domain owing to the finite size of the data set, sampled only at specific points in reciprocal space. In an authoritative treatment, Moore [15] has addressed this problem by developing a framework based on the sampling theorem of Shannon [16], which provides an elegant ansatz to extract the full information content in a given data set and to estimate the errors associated with the parameters derived from the analysis.

Given the complexity involved in the analysis of experimental SAXS data and the subsequent determination of a three-dimensional model of the scattering objects, a natural approach to address the problem is to study the relationship between the SAXS intensity and the structure of scattering objects by directly simulating the scattering intensity from realistic
model configurations, obtained from independent calculations. In this paper, we address the morphology of voids in $a$-Si with particular emphasis on the relationship between the (simulated) intensity from SAXS and the shape, size, density, and the spatial distribution of the voids in amorphous silicon. While the problem has been studied extensively using experimental SAXS data for $a$-Si and $a$-Si:H [17–20], there exist only a few computational studies [21,22] that have attempted to address the problem from an atomistic point of view using rather small models of $a$-Si, containing only 500 to 4000 atoms. Since the information that resides in the small-angle region of reciprocal space is connected to real space via the Fourier transformation, it is necessary to have a significantly large model to include any structural correlations that may originate from distant atoms in order to produce the correct long-wavelength behavior of the scattering intensity. Thus, accurate simulations of SAXS in noncrystalline solids were hampered in the past by the lack of appropriately large structural models of $a$-Si, with a linear size of several tens of angstroms, which are necessary for reliable computation of the scattering intensity in the small-angle region.

We should mention that an impressive number of computational and semianalytical studies can be found in the literature from the past decades that address the relationship between the scattering intensity in SAXS and the morphological characteristics of inhomogeneities present in a sample, using the homogeneous-medium approximation [1,23–25]. Such an approach, however, crucially relies on the assumption that the length scale ($l$) associated with the inhomogeneities is significantly larger than the atomic-scale structure ($R$) of the embedding medium (i.e., $l \gg R$), so that any density fluctuations that may originate from the atomic-scale structure of the embedding matrix on the length scale of $R$ can be neglected for the computation of the intensity in the relevant small-angle region of interest. It thus readily follows that, given the length scale of the voids in $a$-Si ($l \approx 10–18$ Å) and the atomic-scale structure of the amorphous-silicon matrix ($R \approx 10–15$ Å), neither the homogeneous-medium approximation nor an approach based on relatively small atomistic models of $a$-Si, consisting of 500–4000 Si atoms, is adequate for accurate simulations of SAXS intensity in the presence of nanometer-size inhomogeneities in amorphous silicon.

The importance of atomistic simulations becomes particularly apparent in determining the effect of surface relaxation on the shape of the inhomogeneities and its possible manifestation on SAXS intensities, which cannot be addressed realistically using the homogeneous-medium approximation. Furthermore, the behavior of the static structure factor in the small-angle limit is by itself an important topic for studying the long-wavelength density fluctuations in disordered systems. In an influential paper, Xie et al. [26] presented highly sensitive transmission x-ray scattering data of $a$-Si samples to examine the infinite-wavelength limit ($q \rightarrow 0$) of the structure factor $S(q)$ for determining the degree of hyperuniformity, and reported a value of $S(0) = 0.0075 \pm 0.0005$. Following these authors, $S(q \rightarrow 0)$ can be used as a figure-of-merit to study the quality of the amorphous-silicon network generated in our simulations. Here, we shall show that the value of $S(q \rightarrow 0)$ obtained from our simulations is closer to the experimental value than the computed value reported in the literature by de Graff and Thorpe [27]. For a discussion on hyperuniformity and its applications to disordered systems, the readers may refer to the work by Torquato and co-workers [28,29].

The remainder of the paper is as follows. In Sec. II, we address the computational method associated with the production of ultralarge high-quality structural models of $a$-Si, which is followed by the calculation of the SAXS intensity and the construction of voids of different shapes, sizes, densities, and their spatial distributions in several model configurations of amorphous silicon. Section III discusses the results from our simulations where we address the characteristic structural properties of the models and compare the simulated structure factor with the high-resolution structure-factor data of $a$-Si from experiments. This is followed by a discussion on the restructuring of a void surface upon total-energy relaxation and the subsequent changes in the shape and topology of the surface atoms. Thereafter, we examine the relationship between the morphology of the voids and the scattering intensity in SAXS, by studying several models of $a$-Si with a varying size, shape, and concentration of the voids. A comparison of the size of the voids with the same obtained from the simulated intensity in the small-angle region is also presented from Guinier and Kratky plots. Section IV presents the conclusions of our work.

II. COMPUTATIONAL METHODS

A. Large-scale modeling of $a$-Si for simulation of SAXS

Since the main purpose of this work is to study the structure and statistical properties of extended-scale inhomogeneities on the nanometer length scale, we are interested in the scattering region associated with small wave vectors in the range of 0–1 Å$^{-1}$. For inhomogeneities, such as voids, with a typical size of $l \approx 10–20$ Å, one needs to measure scattering intensities for the wave vectors in the vicinity of $k = 2\pi/l \approx 0.3–0.6$ Å$^{-1}$. This means that the appropriate structural models needed to be used in the simulation of small-angle x-ray scattering must have a linear dimension of several nanometers in order to compute statistically reproducible physical quantities from the simulated SAXS data. To fulfill this requirement, we generated ultralarge atomistic configurations of $a$-Si using classical molecular-dynamics (MD) simulations, as described below.

Two independent initial configurations, each comprising $N = 262 400$ Si atoms, were generated by randomly placing atoms in a cubic simulation box of length 176.12 Å, so that the minimum distance between any two Si atoms was 2.0 Å. This corresponds to a mass density of 2.24 g/cm$^3$ for the models, which is identical to the experimental mass density of $a$-Si reported by Custer et al. [30]. Starting from these initial configurations, MD simulations were carried out in the canonical ensemble by describing the interatomic interaction between Si atoms using the modified Stillinger-Weber potential [31,32]. The equations of motion were integrated using the velocity-Verlet algorithm with a time step of $\Delta t = 1$ fs and the Nosé-Hoover thermostat [33–35] was employed to control the simulation temperature, with a thermostat period of $\tau = 0.2$ ps. The initial temperature of each configuration was set to 1800 K and the configurations were equilibrated for 20 ps. After equilibration at 1800 K, each configuration was cooled...
to 300 K over a total time period of 300 ps with a cooling rate of 5 K/ps. Since atomistic models of amorphous silicon obtained from MD simulations, using a single heating-and-cooling cycle, cannot produce good structural properties owing to the large volume and dimensionality of the phase space in a limited simulation time, we repeated the heating-and-cooling cycles 30 times in order to sample the phase space extensively for producing high-quality atomistic configurations with excellent structural properties. For the present simulations, this translates into a total simulation time of 9 ns for each configuration. The final configurations were obtained by minimizing the total energy with respect to the atomic positions using the limited-memory BFGS algorithm [36,37]. In the following, we refer to these final configurations as M-1 and M-2, and we have used them for further simulation and analyses of the scattering intensity in SAXS. The characteristic structural properties of these models are listed in Table II.

B. Simulation of SAXS intensity for amorphous solids

For disordered and amorphous systems, the intensity of x-ray scattering is a function of the microscopic state of the system. The scattering intensity depends on the individual scattering units (e.g., atoms, molecules, cells) and the characteristic statistical distribution of the units in the system. The scattering intensity for a system consisting of \( N \) atoms can be written as

\[
I(k) = \sum_{i=1}^{N} \sum_{j=1}^{N} f_i(k)f_j(k) \exp[i(k \cdot (r_i - r_j))],
\]

where the contribution from an individual atom enters through the atomic form factor \( f_i(k) \) and the structural information follows from the (positional) distribution of the constituent atoms in the system. Here, the wave-vector transfer \( k \) is the difference between the scattered (\( k_s \)) and incident (\( k_i \)) wave vectors, and its magnitude is given by \( k = |k_i - k_s| = 4\pi \sin\theta/\lambda \), where \( 2\theta \) and \( \lambda \) are the scattering angle and the wavelength of the incident x-ray radiation (e.g., 1.54 Å for the Cu \( K_\alpha \) line), respectively. While Eq. (1) can be evaluated directly for small systems, it is computationally very demanding and infeasible to compute the intensity for large models with hundreds of thousands of atoms. Since it is necessary to minimize surface effects by imposing the periodic boundary conditions, one needs to evaluate the double sum in Eq. (1) in order to compute the intensity values. Further, the computation of the configuration-averaged values of the scattering intensity, for a given \( k \), requires angular averaging over all possible directions of \( k \) over a solid angle of 4\( \pi \).

Finally, using the well-known sampling theorem of Shannon [16], it can be shown that, in order to extract the full information from the scattering intensity, for a given \( k \), requires angular averaging over all possible directions of \( k \) over a solid angle of 4\( \pi \). Using large simulated models, one requires to compute approximately \( 10^{35} \) or more operations in order to obtain the intensity plot from Eq. (1). The conventional approach is to carry out the averaging procedure analytically by introducing a pair-correlation function \( g(r) \), which is associated with the probability of finding an atom at a distance \( r \), given that there is an atom at \( r = 0 \). By invoking the assumptions that the system is homogeneous and isotropic that the strong peak near \( k = 0 \), originating from a constant density term, does not provide any structural information and thus can be removed from consideration, one arrives at the following expression for the scattering intensity for a monatomic system,

\[
I_N(k) = Nf^2(k)S(k),
\]

where

\[
S(k) = 1 + \frac{4\pi \rho}{k} \int_0^\infty r g(r) - 1 \sin kr \, dr
\approx 1 + \int_0^R r G(r) \frac{\sin kr}{kr} \, dr.
\]

In Eq. (3), we have introduced the reduced distribution function \( G(r) = 4\pi \rho r [g(r) - 1] \). For computational purposes, it is also necessary to replace the upper limit of the integral by a large but finite cutoff distance \( R \), beyond which \([g(r) - 1] \) tends to vanish. For finite-size models, the cutoff distance \( R \) is generally, but not necessarily, chosen to be the half of the box length for a cubic model of linear size \( L \). Equation (3) can be readily employed to compute the structure factor reliably in the wide-angle limit but the difficulty remains for very small values of \( k \). It has been shown by Levashov et al. [39] that \( g(r) \) converges to unity very slowly, and there exist small but intrinsic fluctuations, even for a very large value of \( R \). In the small-angle limit, the term \( \sin(kr)/kr \) in Eq. (3) changes very slowly but the fluctuations in \( r G(r) \) grow considerably beyond a certain radial distance \( R_c \) due to the presence of the \( r^2 \) term. Thus, \( R_c \) must be as large as possible to extract structural information for small-\( k \) values. It is often convenient to write Eq. (3) in two parts by introducing a damping factor \( \gamma(r) \) in the region \( r \geq R_c \). The resulting equation now reads as

\[
S(k) \approx 1 + \int_0^R r G(r) \frac{\sin kr}{kr} \, dr + \int_{R_c}^\infty \gamma(r) r G(r) \frac{\sin kr}{kr} \, dr.
\]

Computational studies on \( G(r) \) in \( a \)-Si, using large simulated models, indicate that the optimum value of \( R_c \) is of the order of 30–40 Å. Beyond this distance, it is difficult to distinguish \( G(r) \) from numerical noise and the accuracy of the integral in Eq. (3) is found to be affected by the presence of growing oscillations in \( r G(r) \). To mitigate the effect of the truncation of the upper limit of the integral at small-\( k \) values, we have used an exponential damping factor \( \gamma(r) = \exp[-(r - R_c)/\sigma] \), in the region \( r \geq R_c \). Numerical experiments indicate that a choice of \( R_c = 35–40 \) Å and \( \sigma = 1 \) Å is appropriate for our models. Since structural information on extended-scale inhomogeneities generally resides beyond the first few neighboring shells, this observation implies that, even with very large models, one must be careful to interpret the simulated values of the scattering intensity below \( k = 2\pi/R_c \approx 0.1 \) Å\(^{-1} \) due to a low signal-to-noise ratio in \( r G(r) \), as shown in Fig. 1. Once the structure factor is available, the reduced scattering intensity \( I(k) \) can be obtained from the
suggest that the percentage of void-volume fraction (48–50), and implanted helium-effusion measurements (51,52)
together with the characteristic lengths associated with void size ($R_v$), interface width ($d$), and the surface-to-surface
distance ($D$) between two voids. The figure on the right-hand side shows a spherical void of radius $6 \, \AA$ in a network of size $10 \, \AA$. For visual clarity, the silicon atoms on the void surface, having an interface width of $d = 2.8 \, \AA$, and the bulk region are shown in red and yellow colors, respectively.

expression

$$I(k) = I_N(k) = \frac{f^2(k)S(k)}{N},$$

(5)

where $N$ is the number of atoms in the model. The atomic form factor can be obtained from the International Tables for
Crystallography [40] or from a suitable approximated form of $f(k)$ [41,42]. At a finite temperature $T$, the expression
for the reduced intensity in Eq. (5) is multiplied by the
Debye-Waller (DW) factor [43,44] $\exp(-2M)$, where $M = (8\pi^2 \sin^2 \theta / \lambda^2)(a^2(T))$ and $a^2(T)$ is the mean-square displacement of Si atoms in the amorphous state at temperature $T$. The
Debye-Waller-corrected reduced intensity can be written as

$$I_{DW}(k,T) = \exp(-2M) I(k).$$

(6)

The calculation of the Debye-Waller factor for the amorphous state is, by itself, an interesting problem and it is related to
the vibrational dynamics of the atoms at a given temperature.

C. Geometry of voids in a-Si for SAXS simulation

In order to examine the relationship between the morph-
ofology of voids and the intensity of the small-angle
x-ray scattering in a-Si, it is necessary to construct a variety of
void distributions in $a$-Si networks, which are char-
acterized by different shapes, sizes, and number densities
of voids. Since experimental data from IR, NMR, SAXS
[17,19,45–47], positron annihilation spectroscopy (PAS)
[48–50], and implanted helium-effusion measurements [51,52]
suggest that the percentage of void-volume fraction ($f_v$) in $a$-Si
and $a$-Si:H varies from 0.1% to 0.3% of the total volume of
the samples, and the typical size or radius of the voids ranges from
5 to 10 $\AA$, we have restricted ourselves to generating structural
models of $a$-Si with voids that simultaneously satisfy both the
requirement of void-volume fraction and the size of the voids.

FIG. 1. The variation of $r G(r)$ with $r$ for the M-1 model of $a$-Si containing 262 400 atoms. The inset shows the growing fluctuations in $r G(r)$ beyond 40 $\AA$, which affect the evaluation of the integral in
Eq. (3). See Sec. II B for a discussion.

For a given set of $f_v$, $R_v$, and $D$, one can compute a variety of void distributions, which are consistent with experimental results as far as the void-volume fraction and the size of the voids are concerned. For example, by choosing a large (or small) value of $D$, one can construct a sparse (or clustered) distribution of voids. Throughout the study, we have used $d = 2.8 \, \AA$ that corresponds to the maximum
nearest-neighbor distance between two silicon atoms in $a$-Si.

FIG. 2. A schematic representation of voids in two dimensions
(left) showing the characteristic lengths associated with void size ($R_v$), interface width ($d$), and the surface-to-surface
distance ($D$) between two voids. The figure on the right-hand side shows a spherical void of radius $6 \, \AA$ in a network of size $10 \, \AA$. For visual clarity, the silicon atoms on the void surface, having an interface width of $d = 2.8 \, \AA$, and the bulk region are shown in red and yellow colors, respectively.
for a given \( f_v \). For cylindrical voids, the height of a cylinder was taken to be three times its cross-sectional radius \( R_{cy} \), and the latter was chosen so that the volume of the cylinder was identical to that of a sphere or an ellipsoid (see Ref. [53]). The orientations of the ellipsoidal and cylindrical voids were randomly generated by constructing a three-dimensional unit random vector from the center of each void and aligning the major axis of an ellipsoid or a cylinder along that direction. An example of a spherical void of radius \( R_v = 6 \, \text{Å} \) and interface width of \( d = 2.8 \, \text{Å} \) is shown in Fig. 2, which is embedded in a region of the \( a\)-Si network of linear dimension 10 Å. The silicon atoms in the bulk and interface regions of the void are shown in yellow and red colors, respectively.

Table I lists some characteristic features of voids and the resulting models obtained by incorporating voids of different shapes, sizes, numbers, and void-volume fractions. In order to produce a statistically significant number of voids for a given volume fraction of voids, the radii of the voids were restricted to 5–8 Å. For \( f_v = 0.1 \%, 0.2 \%, \) and 0.3\%, spherical, ellipsoidal, and cylindrical voids of different sizes were generated randomly within the networks in such a way that none of the voids was too close to the boundary of the networks. In this work, we have studied a total of 21 models that are listed in column 1 of Table I. Each of the models is indicated by its shape, the number of voids present in the model, and the approximate linear size of the voids. For example, EL6-R6 indicates a model with 6 ellipsoidal voids of radius 6 Å. Similarly, SP18-D8-R6 implies a model with 18 spherical voids of radius 6 Å, which

<table>
<thead>
<tr>
<th>Model</th>
<th>( R ) (Å)</th>
<th>( N_v )</th>
<th>( N_s )</th>
<th>( N_b )</th>
<th>( f_v )</th>
<th>( n_r \times 10^{19} )</th>
<th>( R_g ) (Å)</th>
</tr>
</thead>
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<tr>
<td>SP6-R6</td>
<td>6.0</td>
<td>261584</td>
<td>259</td>
<td>557</td>
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<td>0.11</td>
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<td>0.05</td>
<td>8.09</td>
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<td>533</td>
<td>1106</td>
<td>0.2</td>
<td>0.22</td>
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<td>261126</td>
<td>508</td>
<td>766</td>
<td>0.2</td>
<td>0.09</td>
<td>8.09</td>
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<tr>
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<td>1663</td>
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<td>0.33</td>
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<td>0.33</td>
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for the nomenclature of the models listed below.
models on the atomistic length scale of 2–3 Å, do not affect the scattering intensity in the long-wavelength limit.

Having addressed the structural properties of the models, we now examine the structure factor $S(k)$ of a-Si in the small-angle region. Figure 3 presents $S(k)$ obtained by averaging the results from the model networks M-1 and M-2. The corresponding experimental data for as-implanted and annealed samples of a-Si, from Ref. [26], are also plotted for comparison. A few observations are now in order. First, the simulated structure factor agrees well with the experimental data obtained from the annealed and as-implanted samples for $k$ values up to 15 Å$^{-1}$, as shown in the inset of Fig. 3. Second, an inspection of the simulated and experimental data in the vicinity of 1–2 Å$^{-1}$ reveals that the former is closer to the annealed data than to the as-implanted data. This observation is consistent with the expectation that a-Si models from MD simulations should be structurally and energetically closer to annealed samples than to as-implanted samples. Annealing of as-implanted samples at low to moderate temperature (400–500 K) reduces the network imperfection locally and thereby enhances the local ordering, which reflects in the first peak of $S(k)$. Third, it is notable that the models have reproduced the structure factor in the small-$k$ region $0.15 \leq k \leq 1$ Å$^{-1}$ quite accurately, despite the presence of an artificial damping term in Eq. (4) that imposes an effective cutoff length of $R_c = 5 \sigma (=35–40$ Å) on the radial correlation function and the presence of a small number of coordination defects.

While a direct comparison of the simulated structure factor (of a-Si) with its experimental counterpart establishes the efficacy of the numerical approach and the reliability of the models used in our study, a more stringent test to determine the accuracy of structure-factor data in the small-$k$ region follows from the behavior of $S(k)$ in the long-wavelength limit. de Graff and Thorpe [27] addressed the problem computationally by analyzing $S(k)$ as $k \to 0$, and concluded that $S(0)$ was of the order of $0.035 \pm 0.001$ by studying large a-Si models containing $10^3$ atoms. Likewise, an analysis of the high-resolution experimental structure-factor data of a-Si in the small-angle limit, presented in Fig. 3, by Xie et al. [26] indicated a value of $S(0) \approx 0.0075 \pm 0.0005$ from experiments. Although a full analysis of the behavior of $S(k)$ near $k = 0$ is outside the scope of this work and will be addressed elsewhere, an extrapolation of $S(k)$ at $k = 0$, by employing a second-degree polynomial fit in $k$ in the region $0.15–1.0$ Å$^{-1}$, yields a value of $0.0154 \pm 0.0017$ in this study. This value is comparable to the computed/experimental values mentioned earlier and is a reflection of the fact that our models produce accurate structure-factor data in the small-angle scattering region. The degree of hyperuniformity of a continuous-random-network model is often indicated by the value of $S(k)$ at $k = 0$; a low value of $S(0)$ reflects a high degree of hyperuniformity [26–28,61].

### B. Reconstruction of void surfaces

Recent studies on hydrogenated a-Si, using $ab$ initio density-functional simulations [62–64] and experimental data from SAXS [17], IR [19,46], and implanted helium-effusion measurements [51,52], indicate that the shape of the voids in a-Si:H can be rather complex and that it depends on a number of factors, such as the size, number density, spatial distribution, and the volume fraction of voids, and the method of preparation and conditions of the samples/models. While the experimental probes can provide considerable structural information on voids, it is difficult to infer the three-dimensional structure of voids from scattering measurements only. More importantly, experimental data from small-angle x-ray and neutron scattering measurements include, in general, contributions from an array of inhomogeneities with varying shapes and sizes, so it is difficult to ascertain the individual role of various factors in determining the shape of the measured intensity curve in small-angle scattering. In contrast, simulation studies are free from such constraints and capable of addressing systematically the effect of different shapes, sizes, number densities, and the nature of distributions (e.g., isolated vs interconnected) of voids/extended-scale inhomogeneities on scattering intensities. Before addressing these important issues, we shall first examine the restructuring of a spherical and a cylindrical void surface and the resulting changes of its shape due to atomic rearrangements on the surface or interface region of the voids.

Figure 4 shows the reconstructed void surfaces of a spherical void of radius 6 Å in the model SP18-R6 and a cylindrical void of cross-sectional radius 6.1 Å and height 18.3 Å in the model CY8-R6. As stated in Sec. II C, a spherical void is defined as an empty cavity of radius $r$ (6 Å for SP18-R6) with an interface width $d$ (2.8 Å). Atoms within the region between radii $r$ and $r + d$ are defined as the surface or interface atoms. A cylindrical cavity or void can be defined in a similar way. The radius of gyration of an assembly of surface atoms can be readily obtained from the atomic positions before and after total-energy relaxation to determine the degree of...
reconstruction and the shape of the void. For SP18-R6 and CY8-R6, it has been observed that approximately 50% and 30% of the total surface atoms moved from their original position by more than 0.36 Å or 15% of the average Si-Si bond length, respectively, indicating significant rearrangements of the surface atoms on the voids. A similar observation applies to the rest of the void models, where approximately 20%–50% of the interface atoms have been observed to participate in surface reconstruction. The interface atoms on a void surface in the models SP18-R6 and CY8-R6 are shown in Fig. 4 in red colors, along with the heavily reconstructed regions of the surface as red patches. The displacement of the interface atoms from their original position is presented in Fig. 5 by showing the distribution of the atomic-displacement values. Such a reconstruction of a void surface reduces the strain in the local network and increases the local atomic coordination via topological rearrangements. Figure 6 shows several atoms (in light blue color) on the surface of a void in model SP18-R6, whose coordination number has been found to increase from 2–3 to 3–4 upon total-energy relaxation. The effect of void-surface relaxations on the scattering intensity can be readily observed by computing the intensity before and after the relaxation. The results for the model SP18-R6 are shown in Fig. 7. It is apparent that the scattering intensity changes considerably upon total-energy relaxation despite the fact that the one-dimensional scattering intensity can carry only limited information associated with three-dimensional structural relaxation of voids.

FIG. 4. Reconstruction of a spherical void surface (in the SP18-R6 model) and a cylindrical void surface (in the model CY8-R6 model) during total-energy relaxation. For visual clarity, the interface atoms within a thin shell of width 2.8 Å and the associated reconstructed surfaces are shown in the plot. The red patches on the surfaces indicate the regions which are significantly reconstructed via the displacement of Si atoms (red) by more than 15% of the average Si-Si bond length.

FIG. 5. The distribution of atomic displacements (\(u\)) of the interface atoms on a void surface in the models SP18-R6 and CY8-R6 after total-energy relaxation. For clarity and comparison, only those values of the displacement with \(u > 0.1\) Å are shown above.

FIG. 6. Local topological restructuring of a void surface (in the SP18-R6 model) via a change of atomic-coordination numbers. The silicon atoms, whose coordination number has increased [from (2,3) to (3,4)] during the restructuring process, are indicated in light blue color.

FIG. 7. The effect of relaxation on the shape of the scattering curve for the model SP18-R6. The variation of the scattering intensity results from the three-dimensional restructuring of spherical void surfaces, as shown in Fig. 4(left).
FIG. 8. The variation of the scattering intensity [from Eq. (5)] for four different void-volume fractions. For comparison, voids of an identical shape (i.e., spherical) and size but of different numbers were employed in the simulations. The average radii of gyration of the voids are indicated.

C. Dependence of SAXS intensity on the size and volume fraction of voids

Experimental SAXS data on pure and hydrogenated a-Si suggest that the scattering intensity in the small-angle region is sensitive to the size and the total volume fraction of voids present in the samples [17,19,20,65]. Here, we have studied the variation of the scattering intensity for different void-volume fractions by introducing nanometer-size voids of spherical, ellipsoidal, and cylindrical shapes in model a-Si networks. Since the scattering intensity from an individual void is proportional to the volume of the void, it is necessary to choose spherical/ellipsoidal/cylindrical voids of an identical volume to ensure that any variation of the intensity can be solely attributed to the total volume fraction of the voids. Following experimental observations [45,65], we chose void-volume fractions in the range 0.1%–0.3% by generating different number of voids of identical volumes and shapes. Figure 8 shows the intensity variation for four different values of the void-volume fraction with an identical individual volume of spherical voids. For small values of \( k \), the scattering intensity strongly depends on the volume fraction of the voids and it increases steadily with increasing values of the void-volume fraction from 0.1% to 0.3%. Similar observations have been noted for ellipsoidal and cylindrical voids but are not shown here. Likewise, the effect of void sizes on the shape of the intensity curve in a-Si can be addressed in an analogous manner by introducing voids of different sizes at a given volume fraction of voids. The results for spherical and cylindrical voids for \( f_v = 0.3 \) are presented in Fig. 9. An examination of the simulated data presented in Figs. 9(a) and 9(b) show that there is a noticeable variation in the scattering intensity in the small-\( k \) region below 0.4 Å\(^{-1}\) for both spherical and cylindrical voids.

D. Effect of void shapes on SAXS: Kratky plots for a-Si

In this section, we have studied the intensity plots for a-Si with spherical, ellipsoidal, and cylindrical voids for an identical total volume fraction of the voids to examine the effect of the shape and the spatial distribution of the voids on the scattering intensity in the small-angle region. Since the volume of an individual void can affect the scattering intensity considerably, we chose the size of the voids in such a way that the individual volumes of the voids are identical as far as the total number of missing atoms (in a void) is concerned. Figure 10 shows the variation of the scattering intensities with the wave vector for three models with different void shapes, averaged over two independent configurations for each model. Specifically, we have employed the models SP8-R8, EL8-R8, and CY8-R6. Each of the models contains 8 voids and has a total volume fraction of voids of 0.3%. Although the average radii of gyration of the voids are somewhat different in these models, the individual volume of the voids is kept constant to ensure that they contribute equally to the total scattering intensity. It is evident from Fig. 10 that the scattering intensity is not particularly sensitive to the shape of the void as long as the total volume fraction, individual void volume, and the number of voids are identical. This observation is consistent with the earlier experimental studies on a-Si:H by Mahan et al. [19,47],...
Specifically, a globular protein in the folded state exhibits an approximate semicircular variation of the voids for a given total volume fraction of voids in a-Si. The simulated values of the intensity for spherical (SP), ellipsoidal (EL), and cylindrical (CY) voids, having an identical value of the individual void volume, are shown.

Leadbetter et al. [66], and the study by Young et al. [67], where a weak dependence of the nature of the scattering curve on the shape of the voids or inhomogeneities was reported by tilting the incident beam with respect to the samples. In the next paragraph, we will see that a more effective approach to determine the effect of void shapes on the scattering intensity follows from studying Kratky plots, obtained from voids of different shapes.

To examine the relationship between the shape of voids and the scattering intensity more closely, we have studied the variation of $k^2 I_k(k)$ with $k$, which is often referred to as a Kratky plot in the literature [68]. Here, following the standard convention in the literature, $I_k(k)$ is the background-corrected intensity, which is obtained by subtracting the scattering contribution from the amorphous-silicon matrix with no voids. The quantity $k^2 I_k(k)$ can be viewed as a $k$-space analog of $rG(r)$, which is more sensitive to the intensity variation than the conventional intensity $I(k)$, in the same manner as $rG(r)$ is more sensitive to structural ordering than the radial pair-correlation function $g(r)$. In recent years, Kratky plots have been used extensively in studying the structure of biological macromolecules in solution. It has been observed that, for compact and globular (i.e., spherical) proteins, the variation of $k^2 I_k(k)$ with $k$ is distinctly different and stronger than for ones in the partially disordered and/or unfolded states [69,70]. Specifically, a globular protein in the folded state exhibits an approximate semicircular variation of $k^2 I_k(k)$ with $k$, which gradually dissipates or flattens out as the degree of structural disorder increases and the protein becomes partially disordered by unfolding itself. Following this observation, one may expect that the shape dependence of the scattering intensity on a Kratky plot would be more pronounced for spherical voids than for long cylindrical or highly elongated ellipsoidal voids (see Refs. [4,71]).

Figure 11 shows the variation of $k^2 I_k(k)$ for spherical (SP), ellipsoidal (EL), and cylindrical (CY) voids. The results can be understood qualitatively as follows. Since the largest dimension (length) associated with the spherical, ellipsoidal, and cylindrical voids are given by $2R$, $4R$, and $2.3R$ (see Ref. [53]), respectively, where $R$ is the radius of a spherical void, it is not unexpected that the intensity variation is most pronounced for the spherical voids and vice versa for the (elongated) ellipsoidal voids. Deschamps and De Geuser [72] have shown that the peak position(s) ($k_{max}$) in a Kratky plot is (are) related to the pseudo-Guinier radius $R_{pg} = \sqrt{3/k_{max}}$, in metallic systems, where the particle-size dispersion is usually large. The approach has been recently adopted by Claudio et al. [73] to estimate the size of silicon nanocrystals in bulk nanocrystalline-(nc-) doped silicon from small-angle neutron-scattering data in order to study the effect of nanostructuring on the lattice dynamics of nc-doped silicon. Likewise, Diaz et al. [74] employed in situ SAXS for the detection of globular Si nanoclusters of size 20–30 Å during silicon film deposition by mesoplasma chemical vapor deposition. The SAXS intensity profiles obtained by these authors are more or less similar to the one obtained by us for the spherical voids. The pseudo-Guinier radii obtained from the peak positions in the scattering intensity for the spherical, ellipsoidal, and cylindrical voids are indicated in Fig. 11. The pseudo-Guinier radius of 6.7 Å, obtained from the Kratky plot in Fig. 11, for the spherical voids, matches closely with the initial radius of 8 Å before relaxation. For ellipsoidal and cylindrical voids, the presence of two peaks is clearly visible in the respective Kratky plots, which correspond to linear sizes of 3.8 and 7.7 Å and 4.3 and 6.9 Å, respectively. The presence of multiple peaks in a Kratky plot is indicative of a nonspherical shape of scattering objects. The lengths associated with these peaks are comparable to the ideal values of 4 and 8 Å (minor and major axes) for ellipsoidal voids and 6 and 9 Å (cross-sectional radius and height) for cylindrical voids before relaxation. We shall see in Sec. III F that the values of the pseudo-Guinier radii are also quite close to the values obtained from a conventional Guinier approximation and the average radii of gyration computed from the spatial
distribution of the interface atoms in the vicinity of voids in a model.

E. Effect of spatial distributions of voids on SAXS

In this section, we address the effect of spatial distributions of voids on the intensity curve in SAXS. Before discussing our results, we make the following observation. The application of the homogeneous-medium approximation in the dilute concentration limit of the inhomogeneities or particles, such that the particles are spatially well separated, with a maximum linear size of \( l \), suggests that the scattering intensity for monodisperse particles solely depends upon the volume \( V(l) \), number density \( N(l) \), and the shape of the particle for a given density difference \( \Delta \rho \) between the particles and the average density of the medium. Following Guinier [1] and others [23,24,75], the scattering intensity in this approximation can be expressed as

\[
I(k) = (\Delta \rho)^2 V(l) N(l) \int_0^l 4\pi r^2 \gamma_o(r) \frac{\sin(kr)}{kr} dr,
\]

where \( \gamma_o(r) \) is a characteristic shape function of the particle whose value lies between 0 and 1. The expression in Eq. (7) suggests that the scattering intensity is independent of the atomic-scale structure of the embedding medium, provided that the maximum linear size of the particles \( l \) is significantly larger than the length scale \( R \) associated with the atomistic structure of the medium, i.e., \( l \gg R \). Given that \( l \gg R \approx 10–18 \) Å in this study, it thus follows that the criterion for the homogeneous-medium approximation is not satisfied adequately and that a dependence of the scattering intensity on the spatial distribution of voids may be expected.

The effect of the spatial distribution of the voids on the intensity curve can be studied conveniently by generating a number of suitable isolated and clustered distributions of voids in real space. Since the microstructure of thin-film amorphous silicon is characterized by the presence of voids, which cause local fluctuations in the (mass) density, it is important to examine to what extent a sparse or interconnected distribution of voids can affect the scattering intensity in pure and hydrogenated amorphous silicon. Using implanted helium-effusion measurements, Beyer et al. [51,52] have shown that the presence of He-effusion peaks at low and high temperatures is associated with the diffusion of He atoms through an interconnected void region and the trapping of He atoms in a network of isolated voids, respectively. These authors have further noted that unhydrogenated samples of a-Si, prepared by vacuum evaporation, can have a high concentration of isolated voids. To examine this, we have studied a number of models with different spatial distributions of voids. By using three different surface-to-surface distances \( (D = 1, 8, \) and 14 Å), we have produced three void distributions consisting of 18 voids and of radius 6 Å. Each distribution corresponds to a volume-fraction density of 0.3% of voids and is reflective of a sparse distribution of voids, as one observes in hot-wire or plasma-deposited films of a-Si:H at low concentrations of hydrogen. Figure 12 shows the scattering intensity as a function of the wave vector obtained for these void distributions. While it is apparent that the intensity is not strongly sensitive to the void distribution, it is quite pronounced in the region of \( k \)

below 0.1 Å\(^{-1}\) and in the vicinity of 0.26 Å\(^{-1}\) for smaller values of \( D \). A similar observation has been noted for the model CY18-R6, but the results are not shown here. This dependence can be attributed to the local density fluctuations and the interaction between neighboring voids, which can originate from a clustered or interconnected distribution of voids produced by a small value of \( D \). This is particularly likely in a-Si:H at high concentrations of hydrogen, where the void distribution has been observed to be highly interconnected both from experiments [51,52] and \textit{ab initio} simulations [62,64]. However, since the values of the intensity for \( k < 0.1 \) Å\(^{-1}\) is sensitive to the numerical noise in \( G(r) \) and the real-space cutoff \( R_c \), it is difficult to determine the behavior of the scattering intensity for wave vectors below 0.1 Å\(^{-1}\). Thus, it would not be inappropriate to conclude that the scattering intensity is noticeably affected by the spatial distribution of voids, especially for a sparse distribution, for a given void-volume fraction in the small-angle region of \( k \geq 0.1 \) Å\(^{-1}\).

F. Guinier approximation and the size of the inhomogeneities from SAXS

In writing Eq. (2) from (1) in Sec. II B, we have noted that a peak in \( S(k) \), represented by a delta function [76], at \( k = 0 \) was excluded explicitly to arrive at the expression for the static structure factor. The exclusion of the central peak can be readily justified in experiments by recognizing that the (central) peak, being dependent on the external shape of the sample, is extremely narrow and thus it practically coincides with the incident beam. Analogously, one may invoke a similar assumption in the computer simulation of SAXS by employing a large but finite-size model of amorphous solids so that the computed values of the intensity at small \( k \) are minimally affected. Guinier [1] has shown that, for a homogeneous distribution of particles (e.g., voids) in the dilute limit, the
scattering intensity for small values of \( k \) can be approximated as
\[
I(k) = I(0) \exp \left( -\frac{k^2 r_g^2}{3} \right), \tag{8}
\]
provided that the particles are distributed randomly with all possible orientations and \( kr_g < 1 \). In Eq. (8), \( r_g \) is the radius of gyration of the particles and the interparticle interaction is neglected owing to the dilute nature of their distribution. This relationship between the intensity and the wave vector in the small-angle limit is widely known as the Guinier approximation and it is frequently used in the experimental determination of the size of scattering objects on the nanometer length scale. The approximation suggests that, as long as the voids are distributed randomly (within a large model) in a dilute environment, one should be able to estimate the size of the voids from the shape of the intensity curve for small values of \( k \). In practice, the calculation of the scattering intensity from Eq. (8) is constrained by the effective cutoff distance \( (R_e) \) of the reduced pair-correlation function and the size \( (l) \) of the inhomogeneities, which determine the lower and upper limits of \( k \) in the Guinier approximation, respectively. For the present simulations, these values translate to an approximate \( k \)-range from 0.1 Å\(^{-1}\) to 0.5 Å\(^{-1}\).

Figure 13 shows a comparison of the experimental data from Ref. [45] with the results obtained from our simulations for a void-volume fraction of 0.3% on a Guinier plot, where, following Eq. (8), the scattering intensity is plotted on a natural log scale as a function of \( k^2 \). The simulated values of the intensity match closely with the experimental data, except for very small values of \( k^2 \) below 0.05 Å\(^{-2}\). The deviation for small values of \( k \) is not unexpected; it can be attributed partly to the difficulty in extracting information beyond \( R_e \) from the reduced pair-correlation function and in part to the intrinsic differences between the simulated models and experimental samples. Since the latter generally include, depending upon the method of preparation and experimental conditions, voids of sizes from 5 to 15 Å, it is difficult to compare simulated data with experimental results at a quantitative level for very small values of \( k \). The Guinier approximation in Eq. (8) suggests that the approximate size of the voids/inhomogeneities can be obtained from the slope of a \( \ln I(k) vs k^2 \) plot. To this end, we have plotted \( \ln I(k) \) as a function of \( k^2 \) in Fig. 14 for spherical, ellipsoidal, and cylindrical voids. Since the values of the intensity are close to each other for different shapes, the results for the ellipsoidal and cylindrical voids are offset by \( -5 \) and \( +5 \) units, respectively, for the clarity of presentation. The radii of gyration obtained from the slopes of the fitted plots are indicated as \( r_g \), whereas \( R_g \) reflects the average value of the gyration radius computed from the real-space distribution of the interface atoms of a void. Evidently, the latter is larger than the actual size of the void. For the purpose of comparison, we have subtracted 1.4 Å, a length equal to the half of the interface width \( d \), from the value obtained from the Guinier plot and have listed the corresponding corrected values for each model in the plots and in Table I. It may be noted that \( R_g \) values provide an upper bound of the average radius of gyration of the voids, whereas \( r_g \) values of the same obtained from the Guinier plots might have been underestimated in our work owing to a possible deviation from the Guinier approximation in the scattering region of 0.1 to 0.6 Å\(^{-1}\).

IV. CONCLUSIONS

Small-angle x-ray scattering is a powerful and versatile technique for the low-resolution structural characterization of inhomogeneities over a length scale of a few nanometers for a variety of ordered and disordered materials. In this work, we have presented a computational study of small-angle x-ray scattering in amorphous silicon, with particular emphasis on...
the shape, size, number density, total volume fraction, and the spatial distribution of voids in amorphous silicon. Since it is difficult to control these factors during experimental sample preparation and hence the analysis of the effect of these factors on experimental SAXS data, a direct simulation of the scattering intensity is particularly useful in studying the variation of the simulated SAXS intensity with respect to these factors using atomistic models of amorphous silicon. For the accurate simulation of the scattering intensity in the small-angle region down to 0.1 Å⁻¹, we have produced high-quality molecular-dynamical (MD) models containing 262,400 atoms that correspond to the experimental mass density of 2.24 g/cm³ for amorphous silicon. The MD models exhibited a narrow bond-angle distribution with a average bond angle of 109.23°±9.2° and 97.4% fourfold coordinated atoms. The static structure factors obtained from these models agreed quite accurately with high-resolution experimental structure-factor data, obtained from transmission x-ray scattering measurements. The models exhibited a high degree of hyperuniformity, characterized by the value of $S(k \to 0) \approx 0.0154 \pm 0.0017$, which compares well with the value of 0.0075 extracted from the experimental structure-factor data.

An extensive analysis of the simulated SAXS data, obtained by varying the size, shape, and the volume fraction of voids introduced in the a-Si models, suggests that the scattering intensity is particularly sensitive to the size and the total volume fraction of the voids present in the models. The scattering intensity increases steadily with an increase of the size of the voids, irrespective of the shape and total volume fraction of the voids. While the shape dependence is less pronounced in the $I(k)$ vs $k$ plots and is consistent with experimental SAXS data, an analysis of background-corrected $k^2 I(k)$ vs $k$ (Kratky) plots for spherical, ellipsoidal, and cylindrical voids reveals a clearer picture of the overall shape of the voids than the conventional intensity versus wave-vector plots. The size of the voids obtained from the Guinier approximation and the Kratky plots are more or less consistent with each other and comparable with the values computed from the real-space distribution of the interface atoms, provided that the skin depth of the void surfaces is taken into account.

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To our knowledge, we are not aware of any MD studies of $a$-Si, $ab$ initio or otherwise, that have produced ultralarge CRN models of $a$-Si, consisting of 10$^5$ or more atoms, with fourfold coordination above 97% and a root-mean-square deviation of the bond angles below 1° on the length scale discussed here. In a recent study [77] on $a$-Si, based on machine-learning interatomic potentials, the requirements above were satisfied but on a much smaller length scale. A few $ab$ initio studies, addressing up to 200 atoms, reported values of the fourfold coordination in the vicinity of 95%–96% but at the expense of a high rms deviation (≥15°) of the bond-angle distribution. Experimental values [56] of the latter typically lie between 9° and 11°.
